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AN INTRODUCTORY READER
IN ETHICS AND LITERATURE

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Dedicated to
my colleagues in the English Department
United States Military Academy
West Point



Where Philosophy and English cross-fertilize each other in a magnificent manner the increase and distrust is prevalent. At night I had to navigate my way up the staircases in our apartment building in complete darkness. I inquired as to why there were no light bulbs in the stairwells, only to be told that the residents stole them, believing that if they did not take them, their neighbors would. Without a dominant authority, the former Communist authorities, the social contract has eroded and everyone must struggle alone in the darkness.

We need moral rules to guide our actions in ways that light up our paths and prevent and reduce suffering, that enhance human (and animal, for that matter) well-being, that allow us to resolve our conflicts of interests according to recognizably fair rules, and to assign responsibility for actions, so that we can praise and blame, reward and punish people according to how their actions reflect moral principles.

Even though these five purposes are related, they are not identical, and different moral theories emphasize different purposes and in different ways. Utilitarianism stresses human flourishing and the amelioration of suffering, whereas contractual systems rooted in rational self-interest accent the role of resolving conflicts of interest. A complete moral theory would include a place for each of these purposes. Such a system has the goal of internalizing the rules that promote these principles in each moral person's life, producing the virtuous person, someone who is "a jewel that shines in [morality's] own light," to paraphrase Kant. It is fair to say that morality is a necessary condition for happiness. Whether it is also a sufficient condition for happiness is more controversial, a question we shall consider in Part III. The goal of morality is to create happy and virtuous people, the kind that create flourishing communities. That's why it is the most important subject on earth.

For Further Reflection

- 1. What, according to Pojman, is the main message of *Lord of the Flies?* Do you agree? Explain.
- 2. How does Pojman relate Golding's novel to Hobbes's account of morality?
- 3. Discuss Pojman's five purposes of morality. Do you agree morality has all of these purposes? If not, explain. Can you think of other purposes it has?

On the State of Nature

THOMAS HOBBES

Thomas Hobbes (1588-1679), the greatest English political philosopher, set forth the classic version of the idea that morality and politics arise out of a social contract. He was born on Good Friday, April 5, 1588, in Westbury, England, the son of an eccentric vicar. On the day of his birth the Spanish Armada, the greatest naval fleet the world had then seen, was spotted off the coast of southern England. The chronicler John Aubrey reports that Hobbes's mother, only seven months pregnant, startled by the news, fell into labor and delivered him. Hobbes wrote of this experience, "Unbeknownst to my mother at that time she gave birth to twins, myself and fear. And fear has been my constant companion throughout life." Hobbes's lifetime was filled with the dangers of war, the invading Spanish Armada, the religious wars of Europe, the Civil War in England. His political philosophy may be read as a cure against the fear and insecurity of people desperately in need of peace and tranquility. Hobbes was educated at Oxford University, and lived through an era of political revolutions as a scholar and tutor (to the future Charles II).

Hobbes is known today primarily for his masterpiece in political theory, *Leviathan* (1651), a book written during the English civil wars (1642–1652), sometimes referred to as "the Great Rebellion," which pitted the forces of monarchy (the Royalists) under Charles I against those of Parliament under Oliver Cromwell. Hobbes's work was intended to support the Royalists, as he believed that the monarchy was the best guarantee for orderly and stable government. Yet the Royalists misconstrued his interpretation as supporting the rebels, no doubt because Hobbes rejected the usual grounds for the monarchy, the divine right of kings. For this reason, and because the book conveyed a materialist view of human nature, thought to be dangerous to religion, it was suppressed or violently attacked throughout Hobbes's lifetime.

From Thomas Hobbes, Leviathan, 1651.

What are the doctrines his contemporaries found so controversial? First of all, Hobbes breaks from the medieval notion that the state is a natural organism, based on natural devotion and interdependence. He develops a moral and political theory based not on natural affection, but on psychological egoism. Hobbes argues that people are all egoists who always act in their own self-interest, to obtain gratification and avoid harm. However, we cannot obtain any of the basic goods because of the inherent fear of harm and death, the insecurity in an unregulated "state of nature," in which life is "solitary, poor, nasty, brutish and short." We cannot relax our guard, for everyone is constantly in fear of everyone else. In this state of anarchy the prudent person concludes that it really is in all our self-interest to make a contract to keep to a minimal morality of respecting human life, keeping covenants made, and obeying the laws of the society. This minimal morality, which Hobbes refers to as "the laws of nature," is nothing more than a set of maxims of prudence. In order to ensure that we all obey this covenant Hobbes proposes a strong sovereign or "Leviathan" to impose severe penalties on those who disobey the laws, for "covenants without the sword are but words."*

*The term *Leviathan* refers to the sea monster (or whale) referred to in the Book of Job:

Let those curse the day who are skilled to rouse up Leviathan (3:8)... Can you draw out Leviathan with a fishhook or press down his tongue with a cord?

Can you put a rope in his nose or pierce his jaw with a hook?... Will he make a covenant with you to take him for your servant for ever?...

His sneezings flash forth light, and his eyes are like the eyelids of the dawn.

Out of his mouth go flaming torches; sparks of fire leap forth.

Out of his nostrils comes forth smoke, as from a boiling pot and burning rushes.

His breath kindles coals, and flame comes forth from his mouth . . . When he raises himself up the mighty are afraid; at the crashing they are beside themselves . . .

Upon earth there is not his like, a creature without fear. He beholds everything that is high; he is king over all the sons of pride. (Job 41)

OF THE NATURAL CONDITION OF MANKIND AS CONCERNING THEIR FELICITY, AND MISERY

Nature hath made men so equal, in the faculties of the body, and mind; as that though there be found one man sometimes manifestly stronger in body, or of quicker mind than another; yet when all is reckoned together, the difference between man, and man, is not so considerable, as that one man can thereupon claim to himself any benefit, to which another may not pretend, as well as he. For as to the strength of body, the weakest has strength enough to kill the strongest, either by secret machination, or by confederacy with others, that are in the same danger with himself.

And as to the faculties of the mind, setting aside the arts grounded upon words, and especially that skill of proceeding upon general, and infallible rules, called science; which very few have, and but in few things; as being not a native faculty, born with us; nor attained, as prudence, while we look after somewhat else, I find yet a greater equality amongst men, than that of strength. For prudence, is but experience; which equal time, equally bestows on all men, in those things they equally apply themselves unto. That which may perhaps make such equality incredible, is but a vain conceit of one's own wisdom, which almost all men think they have in a greater degree, than the vulgar; that is, than all men but themselves, and a few others, whom by fame, or for concurring with themselves, they approve. For such is the nature of men, that howsoever they may acknowledge many others to be more witty, or more eloquent, or more learned; yet they will hardly believe there be many so wise as themselves; for they see their own wit at hand, and other men's at a distance. But this proveth rather that men are in that point equal, than unequal. For there is not ordinarily a greater sign of the equal distribution of any thing, than that every man is contented with his share.

From this equality of ability, ariseth equality of hope in the attaining of our ends. And therefore if any two men desire the same thing, which nevertheless they cannot both enjoy, they become enemies; and in the way to their end, which is principally their own conservation, and sometimes their delectation only, endeavour to destroy, or subdue one another. And from hence it comes to pass, that where an invader hath no more to fear, than another man's single power; if one plant, sow, build, or possess a convenient seat,

others may probably be expected to come prepared with forces united, to dispossess, and deprive him, not only of the fruit of his labour, but also of his life, or liberty. And the invader again is in the like danger of another.

And from this diffidence of one another, there is no way for any man to secure himself, so reasonable, as anticipation; that is, by force, or wiles, to master the persons of all men he can, so long, till he see no other power great enough to endanger him: and this is no more than his own conservation requireth, and is generally allowed. Also because there be some, that taking pleasure in contemplating their own power in the acts of conquest, which they pursue farther than their security requires; if others, that otherwise would be glad to be at ease within modest bounds, should not by invasion increase their power, they would not be able, long time, by standing only on their defence, to subsist. And by consequence, such augmentation of dominion over men being necessary to a man's conservation, it ought to be allowed him.

Again, men have no pleasure, but on the contrary a great deal of grief, in keeping company, where there is no power able to over-awe them all. For every man looketh that his companion should value him, at the same rate he sets upon himself: and upon all signs of contempt, or undervaluing, naturally endeavours, as far as he dares, (which amongst them that have no common power to keep them in quiet, is far enough to make them destroy each other), to extort a greater value from his contemners, by damage; and from others, by the example.

So that in the nature of man, we find three principal causes of quarrel. First, competition; secondly, diffidence; thirdly, glory.

The first, maketh men invade for gain; the second, for safety; and the third, for reputation. The first use violence, to make themselves masters of other men's persons, wives, children, and cattle; the second, defend them; the third, for trifles, as a word, a smile, a different opinion, and any other sign of undervalue, either direct in their persons, or by reflection in their kindred, their friends, their nation, their profession, or their name.

Hereby it is manifest, that during the time men live without a common power to keep them all in awe, they are in that condition which is called war; and such a war, as is of every man, against every man. For war, consisteth not in battle only, or the act of fighting; but in a tract of time, wherein the will to contend by battle is

sufficiently known: and therefore the notion of *time*, is to be considered in the nature of war; as it is in the nature of weather. For as the nature of foul weather, lieth not in the shower or two of rain; but in an inclination thereto of many days together: so the nature of war, consisteth not in actual fighting; but in the known disposition thereto, during all the time there is no assurance to the contrary. All other time is PEACE.

Whatsoever therefore is consequent to a time of war, where every man is enemy to every man; the same is consequent to the time, wherein men live without other security, than what their own strength, and their own invention shall furnish them withal. In such condition, there is no place for industry; because the fruit thereof is uncertain: and consequently no culture of the earth; no navigation, nor use of the commodities that may be imported by sea; no commodious building; no instruments of moving, and removing, such things as require much force; no knowledge of the face of the earth; no account of time; no arts; no letters; no society; and which is worst of all, continual fear, and danger of violent death; and the life of man, solitary, poor, nasty, brutish, and short.

It may seem strange to some man, that has not well weighed these things; that nature should thus dissociate, and render men apt to invade, and destroy one another: and he may therefore, not trusting to this inference, made from the passions, desire perhaps to have the same confirmed by experience. Let him therefore consider with himself, when taking a journey, he arms himself, and seeks to go well accompanied; when going to sleep, he locks his doors; when even in his house he locks his chests; and this when he knows there be laws, and public officers, armed, to revenge all injuries shall be done him; what opinion he has of his fellowsubjects, when he rides armed; of his fellow citizens, when he locks his doors; and of his children, and servants, when he locks his chests. Does he not there as much accuse mankind by his actions, as I do by my words? But neither of us accuse man's nature in it. The desires, and other passions of man, are in themselves no sin. No more are the actions, that proceed from those passions, till they know a law that forbids them: which till laws be made they cannot know: nor can any law be made, till they have agreed upon the person that shall make it.

It may peradventure be thought, there was never such a time, nor condition of war as this; and I believe it was never generally so, over all the world: but there are many places, where they live so now. For the savage people in many places of America, except the government of small families, the concord whereof dependeth on natural lust, have no government at all; and live at this day in that brutish manner, as I said before. Howsoever, it may be perceived what manner of life there would be, where there were no common power to fear, by the manner of life, which men that have formerly lived under a peaceful government, use to degenerate into, in a civil war.

But though there had never been any time, wherein particular men were in a condition of war one against another; yet in all times, kings, and persons of sovereign authority, because of their independency, are in continual jealousies, and in the state and posture of gladiators; having their weapons pointing, and their eyes fixed on one another; that is, their forts, garrisons, and guns upon the frontiers of their kingdoms; and continual spies upon their neighbours; which is a posture of war. But because they uphold thereby, the industry of their subjects; there does not follow from it, that misery, which accompanies the liberty of particular men.

To this war of every man, against every man, this also is consequent; that nothing can be unjust. The notions of right and wrong, justice and injustice have there no place. Where there is no common power, there is no law: where no law, no injustice. Force, and fraud, are in war the two cardinal virtues. Justice, and injustice are none of the faculties neither of the body, nor mind. If they were, they might be in a man that were alone in the world, as well as his senses, and passions. They are qualities, that relate to men in society, not in solitude. It is consequent also to the same condition, that there be no propriety, no dominion, no *mine* and *thine* distinct; but only that to be every man's, that he can get; and for so long, as he can keep it. And thus much for the ill condition, which man by mere nature is actually placed in; though with a possibility to come out of it, consisting partly in the passions, partly in his reason.

The passions that incline men to peace, are fear of death; desire of such things as are necessary to commodious living; and a hope by their industry to obtain them. And reason suggesteth convenient articles of peace, upon which men may be drawn to agreement. These articles, are they, which otherwise are called the Laws of Nature: whereof I shall speak more particularly, in the two following chapters.

OF THE FIRST AND SECOND NATURAL LAWS, AND OF CONTRACTS

The right of nature, which writers commonly call *jus naturale*, is the liberty each man hath, to use his own power, as he will himself, for the preservation of his own nature; that is to say, of his own life; and consequently, of doing any thing, which in his own judgment, and reason, he shall conceive to be the aptest means thereunto.

By LIBERTY, is understood, according to the proper signification of the word, the absence of external impediments: which impediments, may oft take away part of a man's power to do what he would; but cannot hinder him from using the power left him, according as his judgment, and reason shall dictate to him.

A LAW OF NATURE, lex naturalis, is a precept or general rule, found out by reason, by which a man is forbidden to do that, which is destructive of his life, or taketh away the means of preserving the same; and to omit that, by which he thinketh it may be best preserved. For though they that speak of this subject, use to confound jus, and lex, right and law: yet they ought to be distinguished; because right, consistent in liberty to do, or to forbear; whereas LAW, determineth, and bindeth to one of them: so that law, and right, differ as much, as obligation, and liberty; which in one and the same matter are inconsistent.

And because the condition of man, as hath been declared in the precedent chapter, is a condition of war of every one against every one; in which case every one is governed by his own reason; and there is nothing he can make use of, that may not be a help unto him, in preserving his life against his enemies; it followeth, that in such a condition, every man has a right to every thing; even to one another's body. And therefore, as long as this natural right of every man to every thing endureth, there can be no security to any man. how strong or wise soever he be, of living out the time, which nature ordinarily alloweth men to live. And consequently it is a precept, or general rule of reason, that every man, ought to endeavour peace, as far as he has hope of obtaining it; and when he cannot obtain it, that he may seek, and use, all helps, and advantages of war. The first branch of which rule, containeth the first, and fundamental law of nature; which is, to seek peace, and follow it. The second, the sum of the right of nature; which is, by all means we can, to defend ourselves.

From this fundamental law of nature, by which men are commanded to endeavour peace, is derived this second law; that a man be willing, when others are so too, as far-forth, as for peace, and defence of himself he shall think it necessary, to lay down this right to all things; and be contented with so much liberty against other men, as he would allow other men against himself. For as long as every man holdeth this right, of doing any thing he liketh; so long are all men in the condition of war. But if other men will not lay down their right, as well as he; then there is no reason for any one, to divest himself of his: for that were to expose himself to prey, which no man is bound to, rather than to dispose himself to peace. This is that law of the Gospel; whatsoever you require that others should do to you, that do ye to them. And that law of all men, quod tibi fieri non vis, alteri ne feceris.¹

To *lay down* a man's *right* to any thing, is to *divest* himself of the *liberty*, of hindering another of the benefit of his own right to the same. For he that renounceth, or passeth away his right, giveth not to any other man a right which he had not before; because there is nothing to which every man had not right by nature: but only standeth out of his way, that he may enjoy his own original right, without hindrance from him; not without hindrance from another. So that the effect which redoundeth to one man, by another man's defect of right, is but so much diminution of impediments to the use of his own right original.

Right is laid aside, either by simply renouncing it; or by transferring it to another. By *simply* renouncing; when he cares not to whom the benefit thereof redoundeth. By transferring; when he intendeth the benefit thereof to some certain person, or persons. And when a man hath in either manner abandoned, or granted away his right; then is he said to be obliged, or bound, not to hinder those, to whom such right is granted, or abandoned, from the benefit of it: and that he *ought*, and it is his duty, not to make void that voluntary act of his own: and that such hindrance is injustice, and injury, as being *sine jure*, the right being before renounced, or transferred. So that *injury*, or *injustice*, in the controversies of the world, is somewhat like to that, which in the disputations of scholars is called *absurdity*. For as it is there called an absurdity,

 $^1\mbox{\sc l}^{\mbox{\sc want}}$ do not do not do not others."—ed. note] $^2\mbox{\sc l}$ That is, without right.—ed. note]

to contradict what one maintained in the beginning: so in the world, it is called injustice, and injury, voluntarily to undo that, which from the beginning he had voluntarily done. The way by which a man either simply renounceth, or transferreth his right, is a declaration, or signification, by some voluntary and sufficient sign, or signs, that he doth so renounce, or transfer; or hath so renounced, or transferred the same, to him that accepteth it. And these signs are either words only, or actions only; or, as it happeneth most often, both words, and actions. And the same are the BONDS, by which men are bound, and obliged: bonds, that have their strength, not from their own nature, for nothing is more easily broken than a man's word, but from fear of some evil consequence upon the rupture.

Whensoever a man transferreth his right, or renounceth it: it is either in consideration of some right reciprocally transferred to himself; or for some other good he hopeth for thereby. For it is a voluntary act: and of the voluntary acts of every man, the object is some good to himself. And therefore there be some rights, which no man can be understood by any words, or other signs, to have abandoned, or transferred. At first a man cannot lay down the right of resisting them, that assault him by force, to take away his life; because he cannot be understood to aim thereby, at any good to himself. The same may be said of wounds, and chains, and imprisonment; both because there is no benefit consequent to such patience; as there is to the patience of suffering another to be wounded, or imprisoned: as also because a man cannot tell, when he seeth men proceed against him by violence, whether they intend his death or not. And lastly the motive, and end for which this renouncing, and transferring of right is introduced, is nothing else but the security of a man's person, in his life, and in the means of so preserving life, as not to be weary of it. And therefore if a man by words, or other signs, seem to despoil himself of the end, for which those signs were intended; he is not to be understood as if he meant it, or that it was his will; but that he was ignorant of how such words and actions were to be interpreted.

The mutual transferring of right, is that which men call CONTRACT. There is a difference between transferring of right to the thing; and transferring, or tradition, that is delivery of the thing itself. For the thing may be delivered together with the translation of the right; as in buying and selling with ready-money; or exchange of goods, or lands: and it may be delivered some time after.

Again, one of the contractors, may deliver the thing contracted

for on his part, and leave the other to perform his part at some determinate time after, and in the mean time be trusted; and then the contract on his part, is called PACT, or COVENANT: Or both parts may contract now, to perform hereafter: in which cases, he that is to perform in time to come, being trusted, his performance is called *keeping of promise*, or faith; and the failing of performance, if it be voluntary, *violation of faith*.

When the transferring of right, is not mutual: but one of the parties transferreth, in hope to gain thereby friendship, or service from another, or from his friends; or in hope to gain the reputation of charity, or magnanimity; or to deliver his mind from the pain of compassion; or in hope of reward in heaven, this is not contract, but GIFT, FREE-GIFT, GRACE: which words signify one and the same thing.

Signs of contract, are either express, or by inference. Express, are words spoken with understanding of what they signify: and such words are either of the time present, or past; as, I give, I grant, I have given, I have granted, I will that this be yours: or of the future; as, I will give, I will grant: which words of the future are called PROMISE.

If a covenant be made, wherein neither of the parties perform presently, but trust one another; in the condition of mere nature, which is a condition of war of every man against every man, upon any reasonable suspicion, it is void: but if there be a common power set over them both, with right and force sufficient to compel performance, it is not void. For he that performeth first, has no assurance the other will perform after; because the bonds of words are too weak to bridle men's ambition, avarice, anger, and other passions, without the fear of some coercive power; which in the condition of mere nature, where all men are equal, and judges of the justness of their own fears, cannot possibly be supposed. And therefore he which performeth first, does but betray himself to his enemy; contrary to the right, he can never abandon, of defending his life, and means of living.

But in a civil estate, where there is a power set up to constrain those that would otherwise violate their faith, that fear is no more reasonable: and for that cause, he which by the covenant is to perform first, is obliged so to do.

The cause of fear, which maketh such a covenant invalid, must be always something arising after the covenant made; as some new fact, or other sign of the will not to perform: else it cannot make the covenant void. For that which could not hinder a man from promising, ought not to be admitted as a hindrance of performing.

OF OTHER LAWS OF NATURE

From that law of nature, by which we are obliged to transfer to another, such rights, as being retained, hinder the peace of mankind, there followeth a third; which is this, that men perform their covenants made: without which, covenants are in vain, and but empty words; and the right of all men to all things remaining, we are still in the condition of war.

And in this law of nature, consisteth the fountain and original of JUSTICE. For where no covenant hath preceded, there hath no right been transferred, and every man has right to every thing; and consequently, no action can be unjust. But when a covenant is made, then to break it is *unjust*: and the definition of INJUSTICE, is no other than *the not performance of covenant*. And whatsoever is not unjust, is *just*.

But because covenants of mutual trust, where there is a fear of not performance on either part, as hath been said in the former chapter, are invalid; though the original of justice be the making of covenants; yet injustice actually there can be none, till the cause of such fear be taken away; which while men are in the natural condition of war, cannot be done. Therefore before the names of just, and unjust can have place, there must be some coercive power, to compel men equally to the performance of their covenants, by the terror of some punishment, greater than the benefit they expect by the breach of their covenant; and to make good that propriety, which by mutual contract men acquire, in recompense of the universal right they abandon: and such power there is none before the erection of a commonwealth. And this is also to be gathered out of the ordinary definition of justice in the Schools: for they say, that justice is the constant will of giving to every man his own, and therefore where there is no own, that is, no propriety, there is no injustice; and where there is no coercive power erected, that is, where there is no commonwealth, there is no propriety; all men having right to all things: therefore where there is no commonwealth, there nothing is unjust. So that the nature of justice, consisteth in keeping of valid covenants: but the validity of covenants begins not but with the constitution of

Further Readings for Chapter 1

a civil power, sufficient to compel men to keep them: and then it is also that propriety begins. . . .

For Further Reflection

- 1. Hobbes wrote, "The utility of morality and civil philosophy is to be estimated, not so much by the commodities we have by knowing these sciences, as by the calamities we receive from not knowing them." What does he mean by this, and does the foregoing selection illustrate it?
- 2. Is Hobbes's view of human nature accurate? Do we always act out of the motivations of fear and distrust? Are people entirely self-interested egoists? Is psychological egoism, the view that we always do what we perceive to be in our best interest, too bleak and one-sided?
- 3. Hobbes thought that only an absolute sovereign could establish or ensure peace and civil society. Is he correct? What would his estimation of democracy be? Could democratic society make use of his analysis? How would democrats modify Hobbes's theory?
- 4. David Hume criticized the idea that contract theories provide a justification of political authority. First of all, there is no evidence of an original contract ever being made and, second, even if our ancestors did sign an original contract, why should that give us any reason for obeying the laws of the state? Even as we are not bound by the marriage or business contracts of our ancestors, why should we be obligated by their political contracts?

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